



Guideline of 3rd Party Investment

2022 CAS-CSIRO Joint Project

Purpose of the 2022 CAS-CSIRO joint project

Under five thematic areas, aligning to the annual research direction of each Theme, both CAS and CSIRO will jointly leverage 3rd party investment to increase the size of the projects, address shared challenges and deliver a greater impact.

Principles when engaging 3rd party

- Both of CAS and CSIRO responsible to identify and secure 3rd party
- Theme leader guides engagement with 3rd party
- Using the organization's standard policy to engage with a 3rd party
- IP arrangements will be decided jointly between CAS and CSIRO

Partners

- Funding partners: providing the funding for the research
- Co-creator: researchers
- Delivery partner: delivering the outputs to market

General description of 3rd party in CAS-CSIRO Joint Project

A 3rd party is an entity that is involved in a joint project in a collaboration that is primarily between CAS and CSIRO.

Type of 3rd party

- Government Body
- State Owned Enterprise
- Private Company
- University
- Research organization (exclusive CAS/CSIRO)

Numbers of 3rd party in one project

- No limitation

Location of 3rd party

- Australia, China or other countries that meet CAS and CSIRO governance requirement

General description of 3rd party investment in CAS-CSIRO Joint Project

Investment from 3rd party is essential and critical to enable the joint project to have a larger size and greater impact that both CAS and CSIRO jointly couldn't do alone

Type of investment

- Cash
- In-kind: **people** who are directly involved in the project and **infrastructure** that people access during the project delivery. Background IP is not included.

Percentage of cash and in-kind investment

- No restriction with the following recommended models:
 1. Purely cash investment
 2. Major portion of cash (>50% of the total investment), top up in-kind
 3. Purely in-kind investment

The required minimum AUD\$500K per year for three years from 3rd party

It's a joint responsibility from both CAS and CSIRO to source 3rd party.

The project is at the minimum value of AUD\$740K per year which is comprised of AUD\$240K contribution from both CAS and CSIRO and minimum AUD\$500K investment from 3rd party.